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The Intersect of Health Reform and Systems of Care for Children's Behavioral Health Care
By James R. Wotring and Beth A. Stroul, 2011
This issue brief is intended to provide an overview of the Affordable Care Act (ACA) and discuss the synergy of health reform with the system of care approach for serving children with behavioral health challenges and their families. Additionally, the issue brief will articulate how the system of care approach can provide both a conceptual framework and specific strategies for implementation of the ACA in ways that ensure that the behavioral health service needs of children, adolescents, young adults, and their families will be met effectively. This issue brief is part of an ongoing series that is intended to inform the field about the implementation of key aspects of the ACA and how issues related to children’s behavioral health can be addressed.

Expanding Systems of Care: Improving the Lives of Children, Youth, and Families
By Beth A. Stroul, Sybil K. Goldman, Sheila A. Pires, and Brigitte Manteuffel, 2012
Prior to the implementation of systems of care, there was little focus on children with mental health conditions at the national, state or local levels. Systems of care have taken responsibility for this previously “unclaimed” population and have created innovations that have improved services and outcomes for children and families across the nation. The Children’s Mental Health Initiative (CMHI) within the Substance Abuse and Mental Health Services Administration (SAMHSA) has invested significant resources in developing systems of care and testing their effectiveness. The national evaluation of the CMHI and other studies have found that systems of care result in positive outcomes for children and families and that they are effective in improving services and better investing limited resources. These results summarized in this document demonstrate the need to expand systems of care, recognizing their potential as a better way to do business, deliver quality care, and improve lives.

Effective Strategies for Expanding the System of Care Approach: A Report of the Study of Strategies for Expanding Systems of Care
By Beth A. Stroul and Robert M. Friedman, 2011
This report describes a project designed to study states that have made considerable progress in expanding systems of care and to identify the strategies that have helped them to accomplish this goal. The study was conducted as part of the national evaluation of the Comprehensive Community Mental Health Services for Children and Their Families Program to obtain practical information that would support governments at all levels in their efforts to expand the approach by identifying and describing the most effective strategies that successful states have undertaken. A nomination process by a diverse group of experts in the field led to a sample of nine states being selected for inclusion in the study: Arizona, Hawaii, Maine, Maryland, Michigan, New Jersey, North Carolina, Oklahoma, and Rhode Island. These states were selected from among those that have successfully expanded systems of care. The findings can also serve to inform the Substance Abuse and Mental Health Services Administration and other federal agencies about how investments in innovative approaches can be moved from demonstrations to widespread adoption.

Updating the System of Care Concept and Philosophy
By Beth A. Stroul, Gary M. Blau, and Robert M. Friedman, 2010
This issue brief outlines elements of the system of care concept and philosophy that should be updated, offers an updated version of the definition and the accompanying values and principles, clarifies the intended use of the updated framework and philosophy, outlines challenges for research and evaluation, and suggests areas needing greater emphasis to address children’s mental health in the future.

Systems of Care: A Framework for System Reform in Children's Mental Health
By Beth A. Stroul, 2002
This issue brief re-examines system reform in children’s mental health, clarifies what the system of care concept is, and explores the continued relevance of the system of care concept and philosophy as a framework for reform. Four questions are addressed: 1) What kind of system reform is needed for children’s mental health care? 2) What is the actual meaning of the system of care concept? 3) Why should we use the system of care concept and philosophy as a framework for system reform in children’s mental health? 4) How can we achieve system reform goals in children’s mental health?

A System of Care for Children and Youth with Severe Emotional Disturbances
By Beth A. Stroul and Robert E. Friedman, June 1994 Reprint (July 1986 Revised Edition)
This seminal monograph explores the development of comprehensive systems of care for children and adolescents with serious emotional disturbances and their families. A generic model of a system of care is presented along with principles of service delivery and system management approaches.

$10.00
Building Systems of Care: A Primer, 2nd Edition
By Sheila A. Pires, 2010
This primer is a fundamental technical assistance tool for state and local stakeholders engaged in developing systems of care for children with behavioral health disorders and their families. It describes over 30 critical systems of care functions that require structure, such as governance, care management, financing, and quality improvement, and examines the pros and cons of different structural approaches. The Primer also focuses on essential components of the system-building process, such as family and youth partnerships, cultural competence, strategic planning, and leadership. It incorporates examples from systems of care around the country and useful resource materials.
$20.00

Designing a Recovery-Oriented Care Model for Adolescents and Transition Age Youth with Substance Use or Co-Occurring Mental Health Disorders
By Doreen Cavanaugh, Sybil Goldman, Barbara Friesen, Chris Bender, and Lan Le, 2008
The Substance Abuse and Mental Health Services Administration and its Center for Substance Abuse Treatment and Center for Mental Health Services invited family members, youth in treatment or post-treatment for substance use or co-occurring mental health disorders, providers, researchers, and federal and state-level policy-makers to participate in a consultative session designed to develop a recovery-oriented care model for adolescents and transition age youth with substance use or co-occurring mental health disorders. This report includes a discussion of the approach, conceptual framework, and working assumptions; background information on the prevalence of substance use and mental health disorders in adolescents and the evolution of the adolescent substance use and mental health service system; and current thinking on recovery-oriented systems of care. The results of the consultative session deliberations are included, specifying priority recommendations for the essential elements, challenges and opportunities for the development of recovery-oriented systems of care for youth, and recommendations for action at the federal and state levels.
Available Online

Psychiatric Residential Treatment Facility Waiver Demonstration: Storytelling Project
By Harriet S. Bicksler, 2012
The Centers for Medicare and Medicaid Services (CMS) awarded grants to nine states to develop and provide home and community-based services and supports to children and youth with serious mental health challenges who met the level of care for treatment in a Psychiatric Residential Treatment Facility (PRTF). The demonstration grant waiver program, often called the PRFT waiver, was designed to enable CMS to develop reliable cost and utilization data to evaluate the effectiveness of community-based service delivery models. This document highlights each of the nine states to give a more personal view of how and why this initiative has been so important in the lives of children, youth, and families who have participated in this demonstration project.
Available Online

CULTURAL AND LINGUISTIC COMPETENCE
Available on the National Center for Cultural Competence Website: http://nccc.georgetown.edu

Cultural and Linguistic Competence
Family Organization Assessment
By Tawara D. Goode, Wendy Jones, Vivian Jackson, Suzanne Bronheim, Claire Dunne, and Isabella Lorenzo-Hubert, 2010

Guide to Using the Cultural and Linguistic Competence Family Organization Assessment Tool
By Tawara D. Goode, 2010
While there are many tools and instruments to assess organizational cultural and linguistic competence, none have been specifically developed to address the unique functions of family organizations concerned with children and youth with behavioral-emotional disorders, special health care needs, and disabilities. The Cultural and Linguistic Competence Family Organization Assessment Instrument and accompanying Guide were developed to fill this void.
http://www.gucchdgeorgetow n.net/nccc/clcfoa

Cultural and Linguistic Competence
Policy Assessment (CLCPA)
By the National Center for Cultural Competence, 2006

Guide to Using the Cultural and Linguistic Competence Policy Assessment
By Tawara D. Goode, 2006
The CLCPA is designed to examine cultural and linguistic competence in four dimensions: values, policy, structure, and practice for health care organizations. The accompanying Guide provides a detailed description of necessary components to achieve a meaningful assessment process including 1) development of a structure; 2) creation of shared vision; 3) collection, analysis, and dissemination of data; and 4) creation of a plan of action.
http://www.clcpa.info

Cultural Competence Health Practitioner Assessment
By the National Center for Cultural Competence, 2004
This is an on-line self-assessment and educational tool designed for health care practitioners to assist in the identification of strengths and challenges related to cultural and linguistic competence. It also provides resources to support continued growth and development.
http://nccc.georgetown.edu/features/CCHPA.html
Curricula Enhancement Modules
These curriculum modules provide content on 1) cultural awareness; 2) cultural self-assessment; 3) process of inquiry—communicating in a multicultural environment; and 4) public health in a multicultural environment. It provides core content, vignettes, exercises, and other resource materials.

- **Cultural Awareness**  
  *By Jean Gilber, Tawara Goode, and Clare Dunne, 2007*

- **Cultural Self-Assessment**  
  *By Tawara Goode and Clare Dunne, 2004*

- **Process of Inquiry in a Multicultural Environment**  
  *By M. J. Gilbert, Y. Partida, T. Goode, and C. Dunne, 2005*

- **Public Health in a Multicultural Environment**  
  *By Jeffrey Oxendine, Tawara Goode, and Clare Dunne, 2004*

[http://www.ncccurricula.info](http://www.ncccurricula.info)

Getting Started… and Moving On… Planning, Implementing, and Evaluating Cultural and Linguistic Competency for Comprehensive Community Mental Health Services for Children and Families: Implications for Systems of Care  
*By Tawara D. Goode and Vivian H. Jackson, 2003*

This guide provides an overview of essential steps to take and groups to include in a process to develop and implement efforts to plan, promote, and sustain organizational and systemic cultural and linguistic competence.  
Available on NCCC website

Helping Families Support Their Lesbian, Gay, Bisexual, and Transgender (LGBT) Children  
*By Caitlin Ryan, 2009*

Provides basic information to help families support their lesbian, gay, bisexual, and transgender (LGBT) children and youth developed through the Family Acceptance Project™ at San Francisco State University.  
Available on NCCC website

Mind Body Spirit: Towards a Biopsychosocial-Spiritual Model of Health  
*By Pat Maloof, 2010*

This web feature explores the intersection between spirituality, healing, and health.  
[http://nccc.georgetown.edu/body_mind_spirit/index.html](http://nccc.georgetown.edu/body_mind_spirit/index.html)

Planning for Cultural and Linguistic Competence in Systems of Care for Children & Youth with Social, Emotional, and Behavioral Disorders and Their Families  
*By the National Center for Cultural Competence, 2004*

Describes an approach to designing organizational operations and structure to embed cultural and linguistic competence by examining what the organization does, who provides the services, what resources are allocated, with whom the organization partners, and the organization’s expectations of subcontractors.  
Available on NCCC website

Promising Practices Series
*By the National Center for Cultural Competence*

This is a series of vignettes from System of Care communities that highlight examples of implementation of various principles of cultural and linguistic competence.  
[http://nccc.georgetown.edu/projects/camh.html#resources](http://nccc.georgetown.edu/projects/camh.html#resources)

Promoting Cultural Diversity and Cultural Competency: Self-Assessment Checklist for Personnel Providing Behavioral Health Services and Supports to Children, Youth, and their Families  
*By Tawara D. Goode and Sylvia K. Fisher, 2012*

An awareness raising tool for personal use to identify strengths and challenges in providing behavioral health services to children, youth, and their families.  
Available on NCCC website

Towards a Culturally Competent System of Care: A Monograph on Effective Services for Minority Children Who Are Severely Emotionally Disturbed: Volume I  
*By Terry L. Cross, Barbara J. Bazron, Karl W. Dennis, and Mareaa R. Isaacs, March 1989*

This document is part of a three-volume seminal series on a culturally competent system of care. The document provides a philosophical framework for such a system, identifies principles, and offers some practical ideas for improving service delivery at policy, administrative, and clinical levels to children of color who are severely emotionally disturbed.  
$8.00  
$22.00 for Volumes I, II, and III

Towards a Culturally Competent System of Care: Programs Which Utilize Culturally Competent Principles: Volume II  
*By Mareaa R. Isaacs and Marva P. Benjamin, December 1991*

This volume of the three-volume series describes programs that exemplify principles of cultural competence in serving children and families of color, includes background information, provides an analysis of crosscutting issues, and makes suggestions and recommendations for future directions.  
$10.00  
$22.00 for Volumes I, II, and III
Towards a Culturally Competent System of Care: The State of the States: Responses to Cultural Competence and Diversity in Child Mental Health: Volume III
By Mareasa Isaacs Shockley, December 1998
This monograph provides an overview of the status of cultural competence development and implementation within state and local child mental health systems over a five-year period. It includes a review of the types of activities and tasks undertaken by state mental health agencies in addressing federal funding mandates in the area of cultural competence as well as shifting demographics and increasing concerns for more efficient and effective services from state legislators and taxpayers. Shifts made by states within their policy and administrative structures, as well as those changes more closely related to service delivery goals and outcomes, are also addressed.

$8.00
$22.00 for Volumes I, II, and III

EARLY INTERVENTION WITH YOUNG CHILDREN

Putting the Pieces Together: A Toolkit on Developing Early Childhood Systems of Care
By Frances Duran, Roxane Kaufmann, and Neal Horen, 2011
This web-based resource provides tips and lessons drawn from the experiences and expertise of early childhood system of care communities engaged in complex systems development work. The toolkit summarizes successful strategies and approaches taken to address common challenges and links to resources and materials by communities.

Available Online

Early Childhood Mental Health Consultation: An Evaluation Tool Kit
By Georgetown University National Technical Assistance Center for Children's Mental Health, Johns Hopkins University, and Portland State University, 2007
This tool kit is designed for states, communities, agencies, and programs investing in early childhood mental health consultation and committed to quality data. This web-based resource combines a brief review of the literature and current research addressing the effectiveness of early childhood mental health consultation with guidance for designing and implementing program evaluation. It will help states, communities, and programs increase their capacity for high-quality evaluation of early childhood mental health consultation in community-based settings. Researchers, policy makers, and program evaluation teams will find:
• A brief review of the evidence base, current issues, and questions;
• Defining characteristics of early childhood mental health consultation;
• Components of high quality evaluation and sample logic models;
• Evaluation tools to measure both process and outcomes, including outcomes for children, families, staff, and programs; and
• Guidance for using evaluation data for program improvement and communicating outcomes.

$8.00 and Available Online

A Training Guide for the Early Childhood Community
By Kathy Hepburn, Roxane Kaufmann, Kris Hansen, and Joan Dodge, 2005
The Training Guide accompanies the monograph Early Childhood Mental Health Consultation, offering a learning process and text for designing, defining, and implementing mental health consultation. The Guide includes an overview and four training modules written in a format to support the trainer's planning, preparation, and presentation. The modules are:
• Valuing and Understanding Mental Health Consultation
• The Effective Mental Health Consultant
• The Importance of a Collaborative Relationship
• Understanding Challenges and Developing Strategies

$7.00
Publication can also be accessed at the following website: http://www2.mentalhealth.samhsa.gov/publications/allpubs/svp05-0151B
For additional free copies of this document, please call SAMHSA'S National Mental Health Information Center at 1-800-789-2647.

Best Beginning: Partnerships Between Primary Health Care and Mental Health and Substance Abuse Services for Young Children and Their Families
By Elisa Rosman, Deborah Perry, and Kathy Hepburn, 2005
Pediatricians are in a unique position to identify early childhood mental health concerns and family mental health and substance abuse risk factors. This SAMHSA, funded, web-based resource features eight innovative medical home practices that integrate behavioral health screening for the whole family, facilitate referrals to community services, and offer follow-up care. This resource describes how the practices use very different approaches for implementing and funding, and the lessons learned. A must read for practitioners who want to replicate an integrated primary care and behavioral health model.

Available Online

Early Childhood Mental Health Consultation
By Elena Cohen and Roxane Kaufmann, May 2000 (Revised 2005)
This monograph builds upon a two-day roundtable meeting that was funded by the Special Program Development Branch, Center for Mental Health Services, Substance Abuse and Mental Health Services Administration. The meeting brought together key stakeholders from the early education and child care fields with family members, mental health practitioners and administrators, and federal, state, and community agency representatives to share their state-of-the-art thinking on promoting healthy development among infants, toddlers, and preschool-age children, in early childhood settings through mental health consultation. The monograph describes an early childhood mental health perspective, defines types of mental health consultation, and provides examples of the essential features of consultation, including challenges and strategies in the consulting process. A vignette illustrates the nuances of the process, and descriptions of programs implementing early childhood mental health consultation are included.

$7.00
Funding Early Childhood Mental Health Services and Supports  
By Amy Wischman, Donald Kates, and Roxane Kaufmann, March 2001  
This document is a workbook that helps states, communities, and programs navigate some of the more than 140 federal programs and tax provisions that are directed at or provide benefit to children and their families. Recognizing the need for a systemic approach to financing early childhood mental health services and supports, the Georgetown University Center for Child and Human Development (GUCHD), with funding from the Center for Mental Health Services, convened a meeting of stakeholders and experts to help design a matrix listing a range of early childhood mental health services and supports and a list of potential financing resources. This matrix can be used by teams to inventory existing services and resources as well as identify gaps and duplication. The document provides the following information: a blank matrix that can be used to consolidate the services and funding source inventories into a single, two-dimensional depiction; tables listing services and financing resources; and a description of funding sources outlining eligibility criteria, services and activities covered, provider qualifications, and any special features or unique issues to consider.  
$8.00 and Available Online

A Public Health Approach to Children’s Mental Health: A Conceptual Framework  
By Jan Miles, Rachelle Espiritu, Neal Horen, Joyce Sebian, and Elizabeth Waetzig, 2010  
This monograph provides a framework for applying a public health approach to mental health addressing the promotion of mental health for all children. It is written for a broad range of leaders who have a role in bringing about change in their system(s) or organizations and influencing children’s mental health and well-being. These leaders may be in federal, state, local program, or policy roles. They may be state, tribal, or regional capacity builders, community providers or volunteers, or consumers or family members. They may be part of systems or sectors that impact the well-being of children, including children’s mental health care, public health, physical health care, maternal and child health, early care, education, child welfare, juvenile justice, housing, transportation, and community development.  
$10.00 and Available Online

Expanded Executive Summary of A Public Health Approach to Children’s Mental Health: A Conceptual Framework  
$5.00 and Available Online

What to Expect and When to Seek Help: Bright Futures Developmental Tools for Families and Providers  
By the National Center for Education in Maternal and Child Health and the National Technical Assistance Center for Children’s Mental Health, 2006  
These four developmental tools and a referral tool are based on Bright Futures Mental Health and offer a framework for families and providers to begin a conversation together about how best to support healthy social and emotional development in children and teens. Each tool covers a developmental age span including: Infancy, Early Childhood, Middle Childhood, and Adolescence, and includes a Referral Tool.  
Available Online

### PUBLIC HEALTH

**Building Systems of Care: A Primer for Child Welfare**  
By Sheila A. Pires, 2008  
Building Systems of Care: A Primer for Child Welfare is a companion document to Primer Hands On-Child Welfare, a web-based training resource for leaders involved in building systems of care for children, youth, and families involved, or at risk for involvement, in the child welfare system. Systems of care, which focus on systemic change, are a fundamentally different approach from usual practice in child welfare. At the heart of systems of care in child welfare is a belief that to achieve good outcomes, such as those articulated in the Child and Family Services Review (CFSR) process, the child welfare system cannot do it alone.  
$12.50 and Available Online

**Financing Behavioral Health Services and Supports for Children, Youth and Families in the Child Welfare System**  
By Jan McCarthy and Lan T. Le, 2008  
This report provides comprehensive descriptions of the types of financing strategies that are being implemented across multiple states and communities, describes the types of financing strategies employed within individual states, offers some insight into how the financing strategies are being implemented, and provides contact information to obtain more detailed information and assistance related to particular strategies. Those who are in a position to initiate, lead, and contribute to the improvement or expansion of behavioral health services for children, youth, and families involved in the child welfare system will find that this report provides practical information to assist in their efforts.  
Available Online

**An Analysis of Mental Health Issues in States’ Child and Family Service Reviews and Program Improvement Plans**  
By Jan McCarthy, Anita Marshall, Marisa Irvine, and Bianca Jay, 2004  
The Child and Family Service Reviews (CFSR) conducted by the U.S. Department of Health and Human Services, in partnership with state governments, are designed to help states improve child welfare services and the outcomes for families and children who receive services by identifying strengths and needs within state programs, as well as areas where technical assistance can lead to program improvements. This analysis is based upon findings from Final Reports in 38 States and Program Improvement Plans (PIPs) from 28 States. The report summarizes responses to 10 specific questions about mental health screening and assessment, mental health services, and the extent to which mental health issues are addressed in Program Improvement Plans. It also presents the mental health related trends observed in the 38 State Final Reports and 28 State Program Improvement Plans (PIPs). It concludes with a summary of the challenges and solutions related to mental health needs and services for children and families in the child welfare system.  
Available Online

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Georgetown University Center for Child and Human Development • 2012
A Family’s Guide to the Child Welfare System
By Jan McCarthy, Anita Marshall, Julie Collins, Girlyn Arganza, Kathy Deserly, and Juanita Milon, 2003
The Family Guide is a tool for families in the child welfare system that provides information about laws and policies that guide the system and about parental rights and responsibilities. The guide is written in a question and answer format from the point at which children enter the system to the time that they leave. Each section includes information about the system and examples of experiences of other families who have been involved in the child welfare system, called Family Voices. Practical Tips for Families, many of which were suggested by families, are also included. The guide is intended to help families and the many other players in the child welfare system work together collaboratively. It is a product of the efforts of several national organizations. Parts of the guide were written by families with personal experience in the child welfare system.
$10.00
Available in English or Spanish

Meeting the Health Care Needs of Children in the Foster Care System: Summary of State and Community Efforts—Key Findings
By Jan McCarthy, 2002
This report provides a discussion of key findings from a three-year study conducted by the Georgetown University Center for Child and Human Development. Critical components of a framework for meeting the health care needs of children in the foster care system are described and important characteristics of promising approaches being implemented across the country to improve the health care of children in foster care are discussed.
$6.00

FINANCING

A Self-Assessment and Planning Guide: Developing a Comprehensive Financing Plan to Support Effective Systems of Care
By Mary Armstrong, Sheila Pires, Jan McCarthy, Beth Stroul, Ginny Wood, and Karabelle Pizzigati, 2006
This tool assists states, territories, and communities to map current financing sources and plan financing strategies to support expanded services. Written with narrative and worksheets, this Planning Guide takes readers through a step-by-step process to build a comprehensive financing plan.
Available Online

WORKFORCE DEVELOPMENT

Compendium of In-Service Training Resources: Building Core Competencies of Personnel Working in Children’s Mental Health
By Jeanne C. Rivard, G. Michael Lane, Joan Dodge, and Gary Macbeth, 2007
This collection of resources provides information for states and communities about competency-based, in-service curricula and training materials being used by State Mental Health Agencies to increase the knowledge, skills, and attitudes (competencies) of professional and/or paraprofessional personnel from various disciplines and specialties who are working in children’s mental health. The compendium developed through a partnership among the National Association of State Mental Health Program Directors (NASMHPD) Children’s Division Workgroup on Leadership and Workforce, the National Research Institute of NASMHPD, and Georgetown’s National Technical Assistance Center in 2007 briefly describes the specific training curricula and materials currently being used for in-service in a number of states and how interested states or communities can access these resources.
Available Online